

## **APPENDIX J: SC APPLICATION AND CERTIFICATION**

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## **J.1 SCHEDULING COORDINATORS' ROLES AND RESPONSIBILITIES**

### **J.1.1 SC Access To Transmission Service**

Transmission Services are provided to Scheduling Coordinators ("SC") pursuant to the applicable terms and conditions of the DSTAR Tariff. Energy and Ancillary Services to serve an Eligible Customer must be scheduled by a SC. Each metering point used for settlement may be represented by only one SC. DSTAR will maintain a business relationship with the SC, not the other Eligible Customers for transmission. Billing for Transmission, Ancillary Services, and Energy Imbalance shall be between the SC and DSTAR.

### **J.1.2 Qualifications To Be an SC**

DSTAR will accept balanced schedules for Resources serving load within the DSTAR GRID, schedules for wheeling out/wheeling through, and schedules for Ancillary Services only from SCs which have been certified as such by DSTAR. Each SC shall:

- a) Demonstrate to DSTAR's reasonable satisfaction that it is capable of performing the functions of an SC under the DSTAR Tariff and that it is capable of complying with the requirements of the DSTAR Tariff  
;

- b) Identify each of the entities (including itself if it trades on its own account) it represents and confirm that each entity is an Eligible Customer;
- c) Demonstrate to DSTAR's reasonable satisfaction that the financial security criteria are being met; and
- d) Confirm that metering requirements defined in Appendix H and DSTAR protocol 6 are being met in relation to each of the entities it represents.

### **J.1.3 SC Responsibilities:**

Each SC shall be responsible for performing the duties specified below.

#### **a) Scheduling**

- (i) In the Day-Ahead Scheduling Process and the Schedule Adjustment Process and in accordance with Appendix B, submit and revise schedules for: non-Dispatchable Demands which the SC represents, Dispatchable Demands which the SC represents, Generators which the SC represents, imports into the DSTAR Grid for which the SC is responsible, exports out of the DSTAR Grid for which the SC is responsible, deliveries to or from other SCs within the DSTAR Grid, and uses of the DSTAR Grid under those Pre-Existing Contracts for which the SC is responsible
- (ii) In the Day-Ahead Scheduling Process and the Schedule Adjustment Process, ensure that the

aggregate of the SC's schedules constitutes a  
Balanced Schedule, in accordance with Appendix B<sup>1</sup>

- (iii) Submit schedules, in the Day-Ahead Scheduling Process and the Schedule Adjustment Process, for those Ancillary Services that are self-provided by the SC, in accordance with Appendices B and D
  - (iv) Submit bids and schedules, in the Day-Ahead Scheduling Process and the Schedule Adjustment Process, for those Ancillary Services which the SC offers to DSTAR's Ancillary Services acquisition processes, in accordance with Appendices B and D
  - (v) Acquire and schedule those transmission rights across FTR Interfaces that are necessary to support the SC's Balanced Schedules, in accordance with Appendices A and B.
- (b) Grid Operation
- (i) Coordinate and allocate curtailments and interruptions in Loads which the SC represents, in accordance with DSTAR Dispatch Instructions issued pursuant to Appendix C

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<sup>1</sup> Note: "Balanced Schedule" is a defined term in both Appendix B and in the Definitions. A Balanced Schedule is one for which, for every Trading Period (i.e., every hour) and for every Congestion Zone, the algebraic sum of an SC's injections (generation in the Zone and imports into the Zone), withdrawals (loads in the Zone, exports from the Zone and deemed losses for the Zone) and trades within the Zone (to and from other SCs) sums to zero.

- (ii) Alter the SC's schedules in accordance with Appendices A and B and in accordance with DSTAR Dispatch Instructions issued pursuant to Appendix C
  - (iii) Direct the response of those Ancillary Service resources, Generating Units and Dispatchable Demands for which the SC is responsible, in accordance with DSTAR Dispatch Instructions and in accordance with Appendices C and D
  - (iv) Provide real-time information to DSTAR and the CAOs in accordance with Appendix C.
- (c) Planning and Outage Coordination
- (i) Submit Demand and resource forecasts to DSTAR in accordance with Appendix F
  - (ii) Submit maintenance outage schedules to DSTAR for all Generators which the SC represents, in accordance with Appendix F.
- (d) Settlements and Market Monitoring
- (i) Participate in the settlements process on behalf of all resources and demands which the SC represents, by providing metered data and load profiled data to DSTAR and DSTAR's designated settlement agent(s) in accordance with Appendix G
  - (ii) Settle with DSTAR for all charges incurred under the DSTAR Tariff, in accordance with Appendix G

(iii) Maintain those records which are required by DSTAR, and provide to DSTAR that information which is required, in accordance with Appendices G, H and L.<sup>2</sup>

#### **J.1.4 SC Billing**

The SC must maintain a contractual relationship with the entities it represents. The SC is responsible for payment of charges for all services provided under the DSTAR Tariff as defined in Appendix G and the Service Schedules.

#### **J.1.5 Operation of SC**

Except for SCs who: (i) only schedule non-Dispatchable Demands and (ii) whose only resources are procured from other SCs and (iii) who have transferred the responsibility for scheduling any FTRs to those SCs from whom they are procuring resources, each SC will maintain a 24 hour, seven days per week scheduling center for the purposes of communicating with DSTAR for scheduling purposes and the CAO on a real time basis. Each SC shall, for the duration of the scheduling process and Settlement Period for which the SC has submitted Schedules to DSTAR, designate a representative who shall be responsible for operational communications with DSTAR and who shall have sufficient authority to commit and bind the SC.

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<sup>2</sup> Note: Appendix G is Billing and Settlements; Appendix H is Metering; Appendix L is Market Monitoring.

## **J.2 ADMINISTRATIVE AND TECHNICAL CERTIFICATION CRITERIA**

DSTAR will not certify that an applicant has become a SC until the applicant has:

- a) Provided the technical/operational information required in the Certification Application (Attachment J-1) and the Service Application (Attachment J-2);
- b) Executed a software licensing agreement for the software used in conducting business with DSTAR in a form approved by DSTAR, if applicable;
- c) Installed any required software for functional interface with DSTAR;
- d) Provided its bank account information and arranged for Fed-Wire System transfers for two-way confirmation or, provided for alternative payment arrangements which are acceptable to DSTAR;
- e) Submitted a timetable for completion of its operational facilities, in order to coordinate site visits by DSTAR staff to ensure compliance with the DSTAR Tariff; and
- f) When applicable, installed appropriate technology in order to communicate with DSTAR and any applicable CAOs, including a dedicated telephone line and other equipment as required in the DSTAR Tariff.

## **J.3 CERTIFICATION PROCEDURE**



### **J.3.1 Application**

To become a SC, a SC Applicant must submit an Application for Certification (Attachment J-1) along with all information requested therein to DSTAR by mail, fax, e-mail or in person. A SC Applicant may retrieve the application form and necessary information from the DSTAR Website.

### **J.3.2 DSTAR Information**

DSTAR will provide the following information, in its most current form, on the DSTAR Website. Upon request by a SC Applicant, DSTAR will send the following information by mail:

- a) The SC application forms, including the SC Certification Application form (Attachment J-1), the SC Service Filing Form (Attachment J-2), the Changes or Additions to Scheduling Coordinator's Information Form (Attachment J-3) and the SC Agreement (Attachment J-4).
- b) The DSTAR Tariff and DSTAR protocols;
- c) Historical DSTAR charges, if available (i.e. historical charges will not be available for at least 60 days following the DSTAR Operations Date) including, but not limited to all of the charges under the Service Schedules of the DSTAR Tariff.

### **J.3.3 Submission of Application to DSTAR**

At least 60 days before the proposed commencement of service, the SC Applicant must return a completed application form with the prescribed non-refundable application fee to cover the application processing costs, site visit and the costs of furnishing a copy of the DSTAR Tariff.

#### **J.3.4 Application Requirements**

The SC Applicant must submit:

- a) Its completed Application Form (Attachment J-1);
- b) The proposed date for commencement of service which may not be less than 60 days after the date the application was filed, unless waived by DSTAR; and
- c) The prescribed non-refundable processing fee of \$500.

#### **J.3.5 Notice of Receipt**

Within 3 business days of receiving the application, DSTAR will send a written notification to the SC Applicant that it has received the application and the non-refundable fee.

#### **J.3.6 DSTAR Screening of Application**

Within 14 days after receiving an application, DSTAR will notify the SC applicant whether the applicant has submitted all necessary information as set forth in the application form (Attachment J-1) and in Section J.2 of this Appendix.

**J.3.7 Deficient Application**

In the event the application is deficient, DSTAR's written notification as supplied under Section J.3.6 will explain the deficiency and request additional information.

**J.3.8 SC Applicant's Additional Information**

Once DSTAR requests additional information pursuant to Section J.3.7, the SC Applicant has 7 days from receipt of deficiency notice, or such longer period as DSTAR may agree, to provide the additional material requested by DSTAR.

**J.3.9 No Response from SC Applicant**

If the SC Applicant does not submit additional information within 7 days or the longer period referred to in Section J.3.8, the application may be rejected by DSTAR.

**J.4 DSTAR APPROVAL OR REJECTION OF AN APPLICATION**

**J.4.1 Time for Processing Application**

DSTAR will make a decision whether to accept or reject the application within 14 days of receipt of the application. If more information is requested, DSTAR will make a final decision within 14 days of the receipt of all outstanding or additional information requested.

#### **J.4.2 Approval or Rejection Letter**

- a) If DSTAR approves the application, it will send an approval letter with a signed SC Agreement and any required software licensing agreements for the SC Applicant's signature.
- b) If DSTAR rejects the application, DSTAR will send a rejection letter stating one or more of the following grounds:
  - i) Incomplete information;
  - ii) Non-compliance with third party contractual obligations;
  - iii) Non-compliance with technical requirements; or
  - iv) Non-compliance with any other eligibility requirements in this Appendix of any other part of the DSTAR Tariff.

#### **J.4.3 In Event of Applicant Rejection**

##### **J.4.3.1 Appeal**

The SC applicant may appeal the rejection of an application by DSTAR by utilizing the dispute resolution procedures in the DSTAR Tariff.

##### **J.4.3.2 Resubmittal**

If an application is rejected, the SC Applicant may resubmit its application with the processing fee at any time.

## **J.5 CERTIFICATION OF SC APPLICANT**

### **J.5.1 Certification**

The SC applicant will become a certified SC when, following acceptance of its application, it has entered into a SC Agreement with DSTAR. SCs must complete a Service Filing prior to commencing scheduling activities.

### **J.5.2 Maintenance of certification**

To maintain certification, technical/operational requirements and rules of this Appendix and any other applicable portions of the DSTAR Tariff and DSTAR protocols must continue to be met.

### **J.5.3 Public notice**

DSTAR shall maintain on the DSTAR Website a list of all certified SCs.

## **J.6 SC'S ONGOING OBLIGATIONS AFTER CERTIFICATION**

### **J.6.1 Obligation to Report Changes in Filed Information**

Each SC has an ongoing obligation to inform DSTAR of any changes to any of the information submitted by it to DSTAR as part of the Certification Application process, including any changes to the additional information requested by DSTAR. Attachment J-3 of this Appendix sets forth the procedures for changing the SC's information and timing of notifying DSTAR of such changes.

## **J.6.2 DSTAR's Response for Failure to Inform**

If an SC fails to promptly inform DSTAR of a material change in its information provided to DSTAR, which may affect the reliability or safety of the Grid, or the financial security of DSTAR, DSTAR may suspend or terminate the SC's rights under the DSTAR Tariff. If DSTAR intends to terminate the SC's rights it shall file a Notice of Termination pursuant to Section J.10.

## **J.7 SC'S SERVICE FILING**

### **J.7.1 Submission of Service Filing**

The SC Applicant must submit a complete Service Filing (Attachment J-2) 15 days prior to commencing scheduling activities. The Service Filing shall include:

- a) Proof of credit for the DSTAR security amount defined in Section J.7.1.1;
- b) A complete listing of all entities which the SC is authorized to represent;  
Certification that it is duly authorized to represent the Generators and loads in transactions with DSTAR; and
- d) The proposed date for commencement of service.

### **J.7.1.1 SC's Financial Requirement**

A SC must satisfy one of the following:

- a) Maintain an Approved Credit Rating, or
- b) Provide one of the following forms of security for an amount described below:

- i) An irrevocable direct pay letter of credit confirmed by a bank or financial institution reasonably acceptable to DSTAR;
- ii) An unconditional and irrevocable guarantee by a company which has and maintains an Approved Credit Rating; or
- iii) A cash deposit standing to the credit of an interest bearing escrow account maintained at a bank or financial institution reasonably acceptable to DSTAR.

#### **J.7.1.1.1 Amount of Security**

The form of security shall be in an amount equivalent to the estimated cost of two months of Transmission and Ancillary Services under the DSTAR Tariff.

#### **J.7.1.1.2 Release of Security Amount**

DSTAR will, following a termination of an SC Agreement and within thirty (30) days of being satisfied that no sums remain owing by the SC under the DSTAR Tariff, return or release to the SC, as appropriate, any money or credit support provided by such SC to DSTAR under this Section.

#### **J.7.1.2 SC's Contracts for Scheduling Service**

A SC must certify that it is duly authorized to represent the Generators and loads in transactions with DSTAR. SCs must further certify, as appropriate, that for each entity it represents:

- a) Represented Generators greater than ten megawatts have signed Generator Agreements with DSTAR and have agreed that the SC will communicate maintenance outage schedules to DSTAR;
- b) Represented wholesale customers are eligible for wholesale Transmission Service pursuant to the provisions of the FPA Section 212(h); and
- c) Represented end-use customers are eligible for direct Access service pursuant to an established program approved by the Public Utility Commission or a Local Regulatory Authority.

#### **J.7.2 Notice of Receipt of Service Filing**

Within 3 business days of receiving the Service Filing, DSTAR will send a written notification to the SC applicant that it has received the Service Filing.

##### **J.7.2.1 Deficient Service Filing Information**

In the event the Service Filing information is deficient, DSTAR will notify the SC of the deficiency and will explain what is deficient and request additional information.

##### **J.7.2.2 SC Additional Filing Information**

The additional material requested must be received by DSTAR 15 days prior to beginning scheduling for a Generator and/or a load.

##### **J.7.2.3 Duplicate Meter Coverage**



If two or more SCs apply simultaneously to register with DSTAR for a single meter or meter point for an DSTAR Metered Entity or if an SC applies to register with DSTAR for a meter or meter point for an DSTAR Metered Entity for which an SC has already registered, DSTAR will return the application with an explanation that only one SC may register with DSTAR for the meter or meter point in question and that an SC has already registered or that more than one SC is attempting to register for that meter or meter point.

### **J.7.3 DSTAR Response to Service Filing**

In 15 days or less after a completed Service Filing (either a filing that is initially complete or that has been supplemented pursuant to Section 7.2.2 above) is received by DSTAR, DSTAR will notify the SC that they can begin scheduling for the identified Generators and/or Loads.

## **J.8 SC'S ONGOING SERVICE OBLIGATIONS**

### **J.8.1 Change in Financial Status**

The SC has an ongoing obligation to inform DSTAR within 3 business days if its credit rating has changed. If the SC's credit rating is reduced below an Approved Credit Rating, DSTAR will suspend the SC's scheduling rights under the DSTAR Tariff, until the SC submits another form of security in accordance with Section J.7.1.1.

**J.8.2 Failure to Maintain DSTAR Security Amount**

If the SC's estimated aggregate liability is greater than its DSTAR security amount, DSTAR will reject any schedule until such time as the SC increases its DSTAR security amount or decreases its outstanding payment balance.

**J.8.3 Obligation to Promptly Report Change in its Service Filing Information**

The SC shall promptly notify DSTAR of any change in the entities it represents. Not less than five (5) business days notice shall be given before the changes take effect. A SC shall continue to be accountable for the entities for which it is responsible pursuant to notice given to DSTAR until the SC has provided notification of termination of scheduling responsibility.

**J.8.4 Failure to promptly Report a Material Change**

If an SC fails to inform DSTAR of a material change in its service filing information provided to DSTAR, which may affect the reliability or safety of the Grid, or the financial security of DSTAR, DSTAR will within 7 days suspend the SC's ability to schedule, and may suspend or terminate the SC's rights under the DSTAR Tariff. If DSTAR intends to terminate the SC's rights it shall file a notice of termination with the Commission. Such termination shall be effective upon

acceptance by the Commission of a notice of termination.

#### **J.9 SC AGREEMENT**

A certified SC must sign a Scheduling Coordinator Agreement ("SC Agreement") prior to transacting business with DSTAR. A pro-forma Scheduling Coordinator Agreement shall be posted in the DSTAR Website.

#### **J.10 TERMINATION OF SC AGREEMENT**

A SC's SC Agreement can be terminated by

a) DSTAR on written notice:

- i) If the SC no longer meets the requirements for eligibility set forth in this Appendix;
- ii) If the SC fails to pay any sum under the DSTAR Tariff and fails to remedy the default within a period of seven (7) days after DSTAR has given written notice of the default; or
- iii) If the SC commits any other default under the DSTAR Tariff which, if capable of being remedied, is not remedied within thirty (30) days after DSTAR has given written notice of the default; or

b) By the SC on sixty (60) days written notice to DSTAR, provided that such notice will not be effective to terminate the SC Agreement until the SC

has complied with all applicable requirements of Section J.8.3.

DSTAR will, as soon as reasonably practicable following the occurrence of any of the events specified above notify the SC, any affected Generator and/or load, and any affected CAOs that the SC Agreement is being terminated. Upon the occurrence on (i),(ii), or (iii) above, DSTAR may initiate a proceeding with the Commission to terminate service but shall not terminate service until the Commission so approves such a request. Termination of the SC Agreement will automatically remove the SC's certification. Each affected Generator or load customer must make arrangements to be served by a new SC.

The information provided for this application will be treated as confidential  
information

**APPENDIX J, ATTACHMENT J-1**

**SCHEDULING COORDINATOR CERTIFICATION APPLICATION FORM**

This application is for certification as a Scheduling  
Coordinator ("SC") by DSTAR in accordance with the DSTAR  
Tariff.

**I. Administrative Requirements**

SC Applicant's Legal Name:

\_\_\_\_\_

Address of principal place of business:

\_\_\_\_\_  
\_\_\_\_\_

Authorized Representative:

\_\_\_\_\_

Address:

\_\_\_\_\_

Phone: \_\_\_\_\_

Fax: \_\_\_\_\_

E-mail: \_\_\_\_\_

Type of entity:

\_\_\_\_\_

(Municipal utility, power marketer, investor owned utility,  
federal or state entity or other)

State of Incorporation or Partnership:

Proposed commencement date for service:

## **II. Financial Requirements**

- 2.1 The SC Applicant has an Approved Credit Rating as set forth in the DSTAR Tariff (yes/no).  
The SC Applicant's credit Rating is\_\_\_\_\_ .

Please attach certified documentation of an approved Credit Rating from Standard & Poors, Mood's Investors Services or the equivalent. SC Applicant must also submit an executed letter of understanding for payment providing contact details in case of default. **OR**

- 2.2 The SC Applicant will provide an irrevocable and unconditional guarantee from a company which has an Approved Credit Rating: (yes/no)

The SC Applicant must submit a signed irrevocable and unconditional guarantee in an DSTAR approved form and certified documentation of the other company's Approved Credit Rating. **OR**

The SC Applicant will provide one of the forms of security listed in Appendix J, Section J.7.1.1(b) in it Service Filing prior to commencing scheduling activities. **AND**

- 2.3 The SC Applicant must provide its bank account information. The SC Applicant's bank must be capable of performing Fed-Wire System transfers.

### **III. Technical Requirements**

- 3.1 Does the SC Applicant have the computer hardware, software and communication capabilities for interface compatibility with the DSTAR system for data transmission, for electronic data interchange (EDI) and for Fed-Wire System transfer accounts: (yes/no).

If no, please submit a proposed completion date to be fully operational so that a DSTAR staff site visit can be arranged.

- 3.2 For Loads and Generating Units located within the DSTAR Controlled Grid, does the SC Applicant have any scheduling restrictions imposed by the parties they represent? (yes/no).

If yes, provide full details on a separate sheet of paper.

- 3.3 Does the SC Applicant have adequate staffing to operate a SC's operational facility twenty-four (24) hours a day for each day of the year: (yes/no).

If no, please submit either (a) a proposed completion date to be fully operational so that a DSTAR staff site visit can be arranged, or (b) in the case of SCs who (i) only schedule non-Dispatchable Demands and (ii) whose only resources are procured from other SCs and (iii) who have transferred the responsibility for scheduling any FTRs to those SCs from whom they are procuring resources, a statement to that effect.

### **IV. Additional Information and Obligations**

- 4.1 The SC Applicant agrees to provide such further information to DSTAR as DSTAR may deem necessary to process the application and certify the SC Applicant as a SC now and on a continuing basis.

- 4.2 Subject to the DSTAR Tariff, the SC Applicant agrees to promptly report to DSTAR within three (3) business days or earlier any changes regarding the information provided by it referred to in the Appendix J and in the application.
- 4.3 The SC Applicant agrees to promptly execute and return the SC Agreement and software licensing agreement after receiving its application approval letter from DSTAR.
- 4.4 The SC Applicants right to schedule services with DSTAR is contingent upon the SC Applicant completing a Service Filing and fulfilling all financial and technical requirements as referenced in Appendix J.
- 4.5 The SC Applicant agrees to enclose herein the non-refundable application fee to cover the application processing costs, site visit and costs of providing a copy of the DSTAR Tariff.

Please make check payable to:  
DSTAR  
(address to be provided)

SC Applicant certifies by its signature on this Application Form that:

- (1) all information it is submitting is correct and accurate; and that
- (2) the SC Applicant has read and agrees to be bound by the DSTAR Tariff as may be in force or amended from time to time.

Name of Organization:

---

SC Applicant's Name (please print):

---



SC Applicant's Title:

\_\_\_\_\_

SC Applicant's Signature:

\_\_\_\_\_

State of \_\_\_\_\_}

County of \_\_\_\_\_}

[SEAL]

Sworn and subscribed  
before me this \_\_\_\_ day of  
\_\_\_\_\_, \_\_\_\_.

Notary's Signature:

\_\_\_\_\_

Please send application and required information to:

DSTAR  
(address to be provided)

The information provided for this application will be treated as confidential  
information

**APPENDIX J, ATTACHMENT J-2**

**SCHEDULING COORDINATOR SERVICE FILING FORM**

This filing is for service as a Scheduling Coordinator ("SC")  
by DSTAR in accordance with the DSTAR Tariff.

**I. Administrative Requirements**

SC's Legal Name:

\_\_\_\_\_

Address of principal place of business:

\_\_\_\_\_

\_\_\_\_\_

Authorized Representative:

\_\_\_\_\_

Address:

\_\_\_\_\_

Phone: \_\_\_\_\_

Fax: \_\_\_\_\_

E-mail: \_\_\_\_\_

Type of entity:

\_\_\_\_\_

(Municipal utility, power marketer, investor owned  
utility, federal or state entity or other)

State of Incorporation or Partnership:

Proposed commencement date for service:

---

## **II. SC Customer Information**

- 2.1 The information required under Appendix J must be provided for represented SC Metered Entities, which are Generators. The SC must submit all requested information at least 15 days before the commencement of service.
- 2.2 Information for SC Metered Entities which are Eligible Customers must be kept in a standard business format based on generally accepted accounting principals. DSTAR shall have the right to inspect and audit a Scheduling Coordinator's accounts and files relating to its SC Metered Entities after giving two business days notice in writing.
- 2.3 The SC must submit a list of all DSTAR Metered Entities that it will represent.

## **III. Security Requirement**

- 3.1 The SC certifies that it has an Approved Credit Rating or and irrevocable and unconditional guarantee from a company which has an Approved Credit Rating consistent with information provided in its Certification Application (yes/no). **OR**
- 3.2 The SC will provide an irrevocable and unconditional letter of credit: (yes/no).  
Amount: \_\_\_\_\_

The SC must submit a signed irrevocable and unconditional letter of credit in a DSTAR approved form before final certification. **OR**

- 3.3 The SC will provide a cash deposit: (yes/no).  
Amount: \_\_\_\_\_

The SC must enter into an escrow agreement in a DSTAR approved form before final certification. **AND**

- 3.3 The SC certifies that its bank account information provided in its Certification Application is true and correct and that the SC's bank is capable of performing Fed-Wire System transfers.

#### **IV. Third Party Contractual Requirements**

- 4.1 The SC confirms that all of its customers which are located within the Grid and which should execute agreements with DSTAR, have entered into or will enter into such agreements prior to the SC commencing scheduling activities. (yes/no).
- a) Represented Generators greater than ten megawatts have signed Generator Agreements with DSTAR: (yes/no).
  - b) Wholesale Customers it will represent have warranted to the SC that they are eligible for wholesale Transmission Service pursuant to the provisions of the FPA Section 212(h): (yes/no).
  - c) Each Eligible Customer it will represent which requests Direct Access service has warranted to the SC that the Eligible Customer is eligible for such service: (yes/no).
- 4.2 The SC confirms that all of the parties which it represents as a SC have granted it all necessary agency authority, whether actual, implied or inherent, to enable the SC to perform all of its obligations under the DSTAR Tariff: (yes/no).

- 4.3 Notwithstanding 4.2, the SC confirms that it will have the primary responsibility, as the principal, for all SC payment obligations under the DSTAR Tariff: (yes/no).

**V. Additional Information and Obligations**

- 5.1 The SC agrees to provide such further information to DSTAR as DSTAR may deem necessary to process the Service Filing.
- 5.2 Subject to the DSTAR Tariff, the SC agrees to promptly report to DSTAR within three (3) business days or earlier any changes regarding the information referred to in Appendix J and provided by it in the Certification Application and the Service Filing.
- 5.3 The SC's right to commence scheduling activities with DSTAR is contingent upon acceptance by DSTAR of this Service Filing.

**SC certifies by its signature on this Application Form that:**

- (1) all information it is submitting is correct and accurate; and that
- (2) the SC has read and agrees to be bound by the DSTAR Tariff as may be in force or amended from time to time.

Name of Organization:

\_\_\_\_\_

SC's Name (please print):

\_\_\_\_\_

SC's Title:

\_\_\_\_\_

SC's Signature:

\_\_\_\_\_

State of \_\_\_\_\_}

County of \_\_\_\_\_}

[SEAL]

Sworn and subscribed  
before me this \_\_\_\_ day of  
\_\_\_\_\_, \_\_\_\_.

Notary's Signature:

\_\_\_\_\_

Please send application and required information to:

DSTAR  
(address to be provided)

The information provided for this application will be treated as confidential  
information

**APPENDIX J, ATTACHMENT J-3**

**Procedures for Changes or Additions to  
Scheduling Coordinator's (SC's) Information**

The SC must update, amend and / or correct the information originally submitted to DSTAR during the SC application process using the format set forth in this Appendix and/or a revised Appendix C, the DSTAR Application File Template. The SC must submit all changes or additional information by first class postage paid mail to:

DSTAR  
(address to be provided)

The SC must notify DSTAR of any change to the information that it has previously submitted to DSTAR, or any additional information, at least three business days before the change will take effect.

DSTAR will send a written acknowledgment of receipt of the SC's changes within three business days of receipt. The receipt shall be sent to the address on file with DSTAR or the address specified in the notice of change received by DSTAR.

Prior Information

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**New Information**

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**Explanation and Reason for Change**

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